

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In're Application of : Shau-Chi CHI

Attorney Docket: 39734-176754

Serial No: 10/004,432

Art Unit: 1648 #9

Filed: December 6, 2001

Examiner: Not Assigned

For: IMMORTAL CELL LINE DERIVED FROM GROUPE EPHINEPHEUS COIODES  
AND ITS APPLICATIONS THEREIN

**INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 C.F.R. §1.56, 1.97, and 1.98**

Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

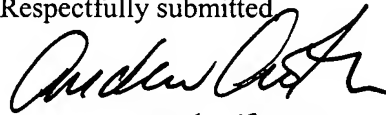
Sir:

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In compliance with the dictates of 37 C.F.R. § 1.56, 1.97, and 1.98, Applicant hereby submits an Information Disclosure Statement. The documents included herein are forwarded from the International Searching Authority pursuant to the PCT application, which constitutes an exception under 37 CFR § 1.97(e)(1). The attached listing is submitted with the desire that the Examiner considers the information and that the references considered be printed on any resulting patent. It is respectfully requested that the Examiner indicate such consideration by enclosing an appropriately initialed copy of the enclosed form PTO-1449 with the next communication from the Patent Office.

The Commissioner is hereby authorized to charge payment of any additional fees due or credit any overpayment to Deposit Account No. 22-0261.

Respectfully submitted

  
36,729

Fei-Fei Chao, Ph.D.  
Attorney of Record  
Reg. No. 43,538

Date: May 21, 2003

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PTO/SB/08A (10-01)  
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SUBSTITUTE for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)			<b>Complete if Known</b>	
Sheet	1	of	2	Application Number 10/004,432
				Filing Date December 6, 2001
				First Named Inventor Shau-Chi CHI
				Group Art Unit 1648
				Examiner Name Not Assigned
				Attorney Docket Number 39734-176754

[illegible][illegible]

Examiner Signature		Date Considered	
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**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.



<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<b>Complete if Known</b>	
		Application Number	10/004,432
		Filing Date	December 6, 2001
		First Named Inventor	Shau-Chi CHI
		Group Art Unit	1648
		Examiner Name	Not Assigned
Sheet 2	of 2	Attorney Docket Number	39734-176754

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OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	AA	Chi, S.C. et al., "Characterization of grouper nervous necrosis virus (GNNV)", Journal of Fish Diseases, Vol. 24, No. 1, January 2001, Pgs. 3-13, GB, XP-002229784	

Examiner Signature		Date Considered	
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<sup>1</sup> Unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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